

# Driving Financial Transformation: Compliance and Control



# A CFO's reality check: Risk is rising

The pressure's intensifying and you're being asked to do more than ever.

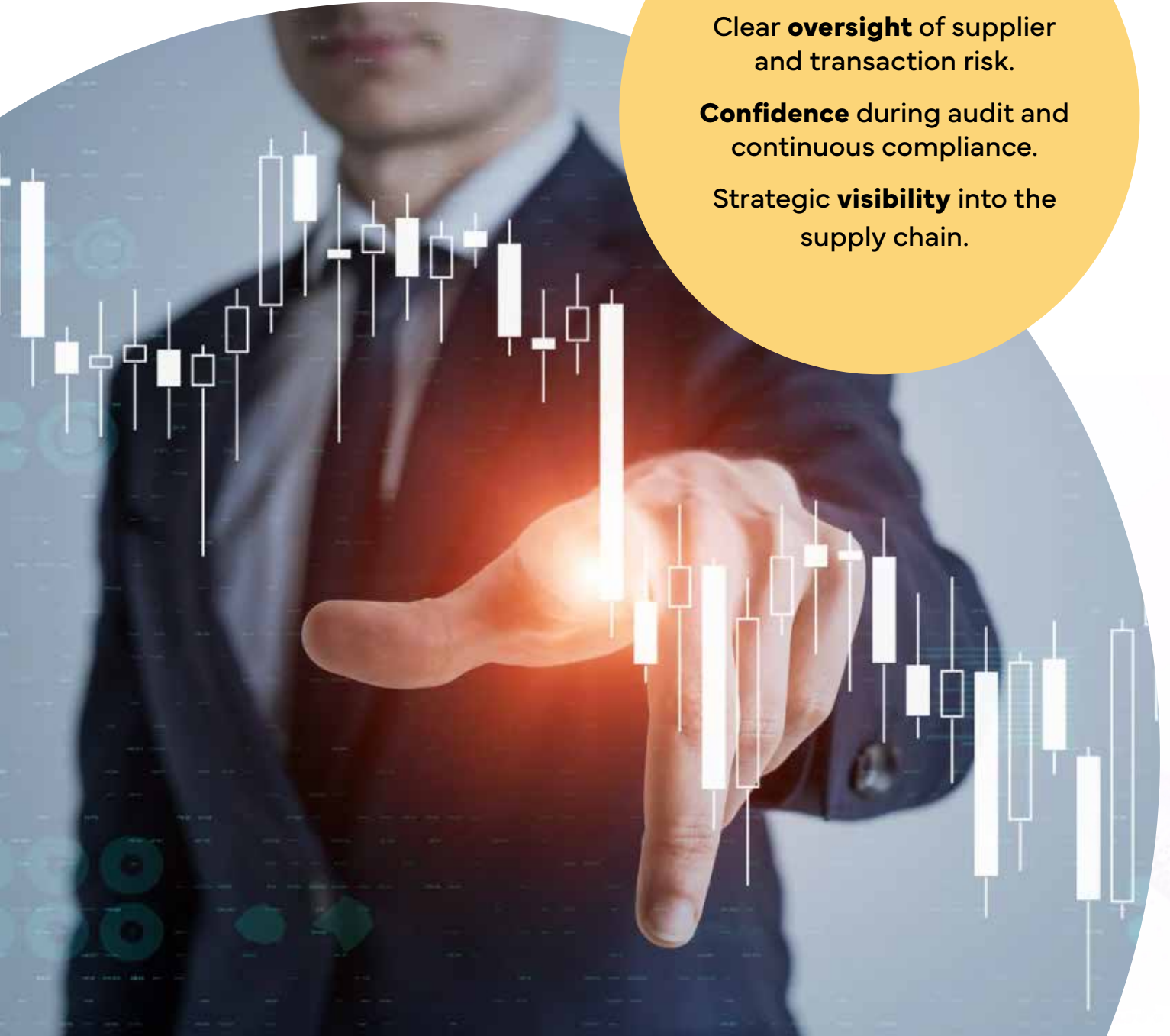
- Board scrutiny, accurate metrics and on-point forecasts.
- Meeting ever-expanding compliance requirements.
- The *Failure to Prevent Fraud* offence creates personal and corporate liability for fraud linked to suppliers and employees.
- Enhancing resilience and driving growth in the face of rising risk.
- Audit cycles are more frequent, and expectations are higher.

## CFOS NEED:

Clear **oversight** of supplier and transaction risk.

**Confidence** during audit and continuous compliance.

Strategic **visibility** into the supply chain.



# Three growing threats to keep under control

## Regulatory exposure

New laws such as the Failure to Prevent Fraud offence introduce corporate criminal liability for actions committed by employees, agents and suppliers. Without reasonable prevention procedures, your organisation could be held responsible.

## Supply chain pressures

Governments, investors and customers expect responsible supply chain practices - timely payments to SMEs, data integrity, and avoidance of reputationally risky suppliers, including sanctioned suppliers.

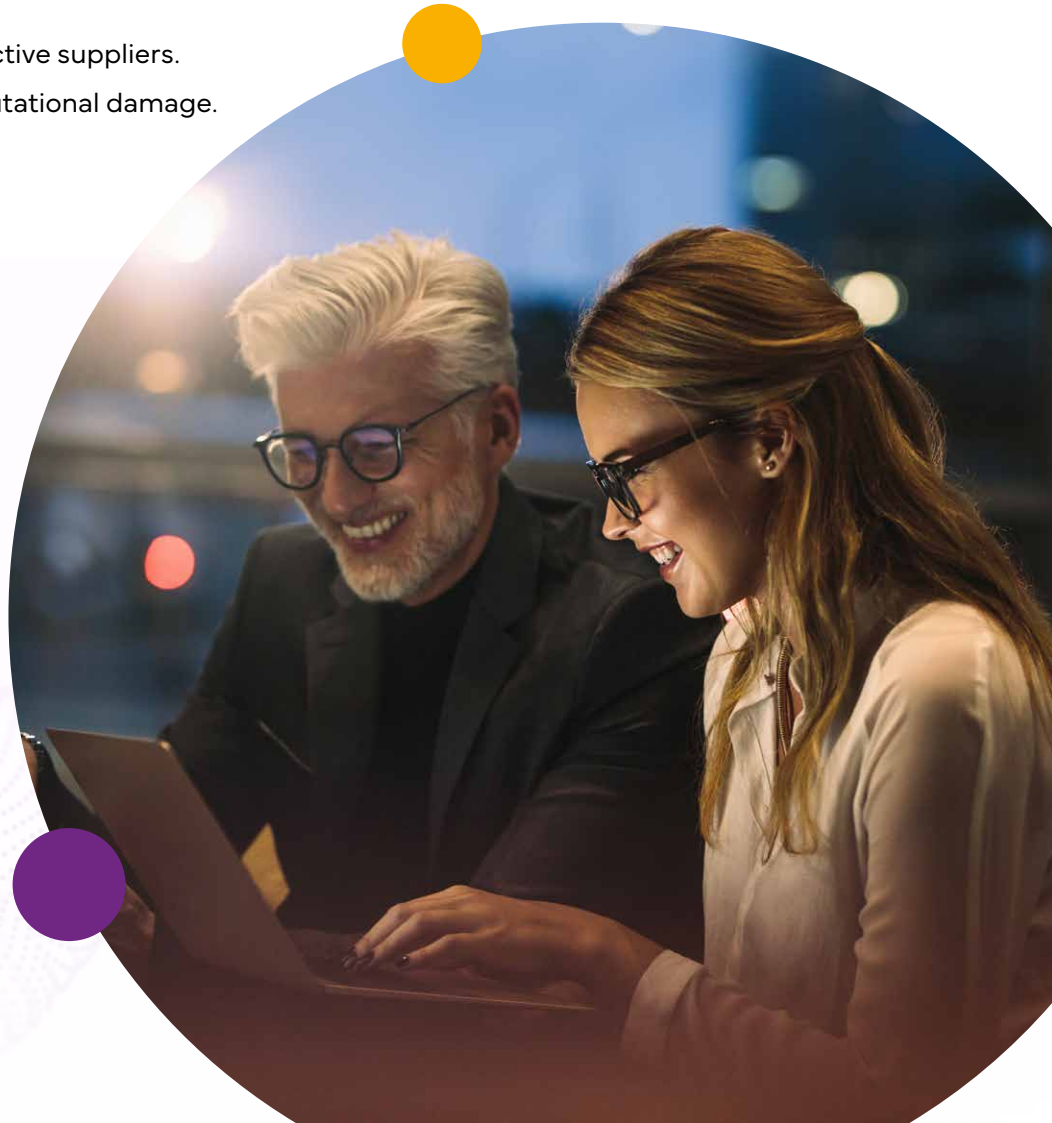
## Supplier master file risk

Supplier files swell over time, accumulating dormant or duplicate records. These expose you to:

- Incorrect payments.
- Fraudulent activity via inactive suppliers.
- Failed audit tests and reputational damage.

# 90%

of organisations have discovered supplier file errors that could have led to fraud, payment errors or compliance failure.



# What leading CFOs are doing differently

## They're using automated monitoring to eliminate blind spots in one key area:

Monitoring the master supplier file is the ultimate way to increase compliance and reduce financial risks, including fraud. Supplier file issues affect 90% of organisations, and cost them around £470k per year. By controlling what is often a root cause of Accounts Payable pain, you gain efficiency and compliance.

### Supplier master file cleansing and monitoring

It's imperative that your supplier master file is accurate, complete and free from risk. Monitoring it means:

- Eliminating dormant and duplicate records.
- Identifying sanctioned or high-risk suppliers.
- Validating supplier records.
- Tracking changes to supplier bank details and contact information to prevent fraud.

## What you gain:

### Protect organisational reputation

Assurance that your organisation is:

- Paying only the correct, verified suppliers.
- Avoiding sanctioned or adverse-media-exposed suppliers.
- Eliminating errors that harm supplier relationships and audit outcomes.

### Mitigate fraud and regulatory risk

- Detect anomalous transactions, round payments, and duplication.
- Continuously monitor changes to supplier bank details.
- Cross-check supplier data against employee records for fraud prevention.

### Strengthen audit confidence

Move from year-end audit panic to continuous compliance and control. Equip auditors and boards with evidence of strong governance across the full transaction lifecycle.

# Automation is a strategic investment

CFOs are investing in automation not just to improve efficiency, but to reduce compliance costs, enable scalability, and unlock talent capacity for value-adding work.

## With FISCAL's automation:

- Supplier master file maintenance becomes real-time, not reactive.
- You reduce dependence on resource-intensive manual checks.
- You transform the role of finance into one that enables confident decision-making and investor assurance.
- Screening for supply chain threats becomes a constant everyday control.
- Compliance is ensured with real-time checks.
- Audit stress is reduced, because transactions and suppliers are always in good order.

**In short: your team move from detectives to strategists, and they save time in the process.**

# How FISCAL Technologies supports CFOs

FISCAL's solution designed to reduce risk exposure, safeguard working capital, embed controls and compliance into daily operations and support the wider finance strategy.

## HERE'S HOW OUR SOFTWARE HELPS:

### Supplier risk monitoring

- Automated identification of duplicate suppliers, dormant accounts and non-compliant records.
- Real-time alerts for sanctions, credit score dips, and adverse media exposure.
- Traceable audit trails and change history for governance.
- Track changes to bank details and cross-reference with employee data to mitigate internal fraud.

### Reporting for board-level oversight

- Overview dashboards aligned to your KPIs and operational needs.
- Clean and audit-ready records for both internal and external scrutiny.
- Custom reports to show impact and value creation from spend protection, as well as monitoring efforts.



## CONCLUSION:

# Embedding strategic resilience in finance

**The modern CFO is no longer simply a financial steward—they are a protector of value, a risk governor, and a driver of strategic operations.**

Compliance and control are now a higher priority for the CFO. Whether you are defending your organisation from fraud, building transparency, or proving control for audit and regulatory scrutiny, supplier and transaction integrity is key.

**By building supplier and transaction oversight into your financial strategy, you:**

**Demonstrate regulatory compliance and readiness for audit.**

**Reduce the probability of fraud, error, and reputational fallout.**

**Elevate finance's role as a strategic partner across the enterprise.**

**FISCAL Technologies delivers the tools you need to fulfil your compliance obligations and protect spend, all while building the operational trust that underpins strategic finance leadership.**

### **With FISCAL's platform, financial leaders gain:**

- Control and confidence in payments and reduced overspend.
- Increased audit and legislative compliance.
- Protection from fraud and reputational risk.
- A clear, auditable overview of supplier and transaction risks

**Book a meeting today to explore how FISCAL Technologies helps CFOs improve compliance and gain control of their expenditure.**





**We protect your spend.**  
**Every invoice. Every supplier. Every day.**

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FISCAL Technologies provides technology and services that empower finance teams to protect their spend. We check every invoice, and every supplier, every day.

Our software is used to find duplicate payments, defend against fraud, automate statement reconciliation, optimise working capital and create bespoke reports.

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